

PRIVACY POLICY

Jamieson Financial Services, LLC / Jamieson and Associates, Ltd.

We value your trust and are committed to protecting your privacy. This Privacy Policy explains how we collect, use, and safeguard your information in compliance with applicable federal and state laws, including the Gramm-Leach-Bliley Act, HIPAA and SEC regulations, and FINRA rules.

By accessing our website, you acknowledge that you have read, understood and agreed to the terms of this Privacy Policy.

Within 30 days after you access our website or after any material change to our Privacy Policy, you may opt out of having your personal information shared for certain marketing purposes by notifying us at compliance@jamiesonfs.com.

1. Information We Collect

We collect personal information as necessary to provide our services and meet regulatory obligations. This may include:

- Name, address, email, phone number and other contact details
- Images of government-issued identification, such as driver's licenses or passports
- Social Security Number and financial data (e.g., income, assets, investment objectives)
- Insurance, employment and benefits enrollment details
- Proofs of residency, such as utility bills or property leases
- Account balances, transaction histories and bank statements
- Health-related information, including medical records
- Website usage data

Personal information may be collected through in-person meetings, phone calls, email, online forms and applications and from third parties such as credit bureaus, insurers, and plan sponsors. Any medical records will be accessed only after you have signed an Authorization to Release Medical Information that complies with HIPAA privacy regulations.

2. How We Use and Share Information

We may use your personal information to:

- Process insurance, financial, or advisory transactions
- Provide requested services or respond to inquiries
- Meet regulatory or legal obligations
- Conduct risk management, compliance, or audit reviews

We may share your information:

- With our affiliates for everyday business purposes
- With insurance carriers we work with on your behalf
- With third party vendors for servicing your account and managing institutional risk
- With joint marketing partners
- With regulators or government entities or as otherwise required by law.

Any sharing of information with third party vendors and/or marketing partners is subject to (1) contractual obligations to maintain the confidentiality and security of non-public personal information and (2) any requested opt-outs of sharing personal information for certain marketing purposes.

Other than as outlined above, we do not sell, rent or share your personal information with third parties.

You may have the right to limit the use of your personal information for certain marketing purposes. Contact us at compliance@jamiesonfs.com to learn more.

3. Text Messaging (SMS) & Email Communications

Communication by SMS is incidental and never the primary channel.

- Text messages will be limited to scheduling, logistics and incidental communications unless you specifically request more substantive information be sent via text. To do so, you must email compliance@jamiesonfs.com to request a text authorization form, which you must sign and return to us.
- We do not send marketing or financial advisory content via text
- We do not engage in any discussion of HIPAA- or FINRA-regulated topics in text messages.

Email is used for secure correspondence. Sensitive personal, financial or health information will be sent via encrypted channels.

4. Website Data & Analytics

We use web forms occasionally to collect user information. These forms may request names, contact info, and business-related details, and user information may be shared with our website providers.

We also use cookies and third-party analytics tools such as Google Analytics, HubSpot, Salesforce, and Constant Contact to enhance website performance and understand user behavior. Users can manage cookie preferences through their browser settings. Privacy policies for these vendors are available on their respective websites.

5. Safeguarding Your Information

We maintain physical, electronic, and procedural safeguards to protect your personal data. These include:

- Access controls for employees, contractors and third party vendors
- Secure data storage
- Contractual obligations requiring third-party service providers to:
 - (1) comply with the same privacy laws that apply to us,
 - (2) provided appropriate levels of data security protections, and
 - (3) process and observe any requests to opt out from the use of personal information for marketing purposes.

We restrict our access and use of personal information to necessary business functions and require all employees and contractors to maintain the confidentiality and security of such information.

6. Your Rights and Choices

You may have the right to review and correct your personal information. If you wish to do so, email us at compliance@jamiesonfs.com.

We reserve the right to update or modify this Privacy Policy at any time, and we will post the modified Privacy Policy on our website. You may have the right to opt out of certain information-sharing practices by emailing us at compliance@jamiesonfs.com. We will not share your personal information for 30 days after any material change to our Privacy Policy to give you the opportunity to exercise any opt-out rights.

7. HIPAA, FINRA, and SEC Notice

As a provider of financial and insurance services, we are subject to HIPAA, FINRA, and SEC rules that apply to certain services. For example:

- HIPAA applies when we handle PHI on behalf of a health plan or self-funded employer group.
- FINRA and SEC rules apply when we provide investment or securities-related services or advice.

For More Information:

For questions about this policy, email compliance@jamiesonfs.com.